



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yasunori INOUE et al.

Serial No.: 09/921,250

Group Art Unit: 1763

Filed: August 29, 1997

Examiner: G. Goudreau

For: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE
LIQUID USED THEREIN

INFORMATION DISCLOSURE STATEMENT
CERTIFICATION PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents
Washington, D.C. 20231

April 23, 2001

Sir:

Submitted herewith is a copy of an Office Action dated February 23, 2001 which issued in related application serial no. 09/037,674. Also submitted herewith is a copy of each reference cited in that Office Action which has not yet been made of record in the present application.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following filing of the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

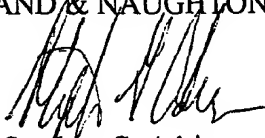
The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR § 1.17(p).

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340. Two copies of this authorization are attached.

Respectfully submitted,

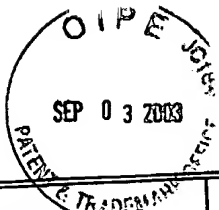
ARMSTRONG, WESTERMAN, HATTORI,
McLELAND & NAUGHTON, LLP



Stephen G. Adrian
Attorney for Applicants
Reg. No.32,878

Atty. Docket No. 970813
1725 K Street, N.W., Suite 1000
Washington, DC 20006
Tel: (202) 659-2930
Fax: (202) 887-0357
SGA/arf

Enclosures: PTO-1449, 4 references and Office Action dated February 23, 2001
Check \$180.00 fee



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 970813	Serial No. 09/921,250
	Applicant(s): INOUE et al.	
	Filing Date: August 29, 1997	Group Art Unit: 1763

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,581,101 ✓	Ning et al.	12/1996	257	347	
_____	AB						
_____	AC						
_____	AD						
_____	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AF	6-291202 ✓	10/1994	Japan	
_____	AG	63-199859 ✓	8/1998	Japan	
_____	AH	56-125844 ✓	10/81	Japan	
_____	AI				
_____	AJ				

OTHER DOCUMENTS

_____	A K	
_____	AL	
Examiner	Date Considered	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **INOUE, et al.**

Group Art Unit: 1763

Serial No.: 08/921,250

Examiner: **GOUDREAU**

Filed: August 29, 1997

P.T.O. Confirmation No.: 9314

For. **FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE LIQUID USED THEREIN**

INFORMATION DISCLOSURE STATEMENT AND STATEMENT
PURSUANT TO 37 CFR 1.97(d)

Attn: Group Director

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 16, 2003

Sir:

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

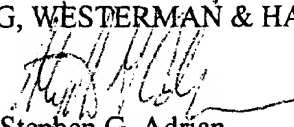
The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. The references were cited in an Office Action dated May 23, 2003 for U. S. Serial No. 09/320,271.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adrian
Attorney for Applicant
Reg. No. 32,878

SGA/arf
Atty. Docket No. 970813
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (5)
\$180.00 fee



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 970813	Serial No. 08/921,250
	Applicant(s): INOUE, et al.	
	Filing Date: August 29, 1997	Group Art Unit: 1763

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,863,702	Ohbayashi et al.	1/1999			
_____	AB	5,960,321	Hsieh et al.	9/1999			
_____	AC	6,071,810	Wada et al.	6/2000			
_____	AD	6,136,686	Gambino et al.	10/2000			
_____	AE	6,153,519	Jain et al.	11/2000			

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				

OTHER DOCUMENTS

_____	A K	
_____	AL	
Examiner		Date Considered